
Nationwide Mortgage Licensing System (NMLS): Release Notes

NMLS Release 2009.1

Release Date: March 6, 2009

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1 Overview

These release notes describe the enhancements for Release 2009.1 of the Nationwide Mortgage Licensing System ("NMLS"), released on March 6, 2009. Release 2009.1 is comprised of: (i) financial statement functionality that will allow companies to file financial statements through NMLS pursuant to jurisdiction-specific requirements; (ii) regulator work list enhancements that will allow regulators to view work list items by category and bulk assign work list items to a single regulator user; (iii) bulk license update functionality allowing regulators to apply a single license status to multiple MU3 or MU4 licenses simultaneously; (iv) open regulator access allowing regulators to view information on companies, branches and individuals, with the exception of certain confidential information, regardless of whether the regulator licenses the company, branch or individual; (v) various composite view, search, screen text and data field enhancements; (vi) problem report resolutions; and (vii) other miscellaneous enhancements .

Release 2009.1 Features:

1. Financial Statement Functionality
2. Regulator Work List Enhancements
3. Regulator Bulk License Update
4. Open Regulator Access
5. Composite View Updates and Enhancements
6. Company, Branch and Individual Search Enhancements
7. Form, Screen Text and Data Field Enhancements
8. View Confidential Information Role
9. Sponsored Individual Roster Report for Regulators

2 Release Highlights

2.1 Financial Statement Functionality

A brief description of the financial statement ("FS") functionality made available to companies and regulators through Release 2009.1 can be found below. For more information regarding the FS functionality and key definitions, please refer to the NMLS Website page entitled *Submitting Company Financial Statements on NMLS* found at:

http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Financial_Statements

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2.1.1 Company Filings

With Release 2009.1, companies will be able to file financial statements in NMLS. A company may be required to make a financial statement (“FS”) filing prior to submitting a new license application in NMLS and/or within 90 days of the company’s fiscal year end. FS Filings can be created under the Financial Statements sub-header of the company’s Filing tab. A full description of the FS requirements for new applications can be found in the new application checklists by jurisdiction and license type through the State Licensing Resources Page at:

http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Participating_States1&Template=/CM/ContentDisplay.cfm&ContentID=13647

Information regarding certain new application FS requirements also can be found in the Financial Statement Requirements Summary along with annual FS requirements by jurisdiction and license type on the Financial Statements page of the NMLS website at:

http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Financial_Statements

ENTITLEMENT ROLES: Account administrators for the company automatically will be granted the authority to create and submit FS filings. However, they must assign the *Create and Submit Financial Statement Filings* role to other company users.

FS FILING DATA FIELDS: The following information must be provided through NMLS, as applicable, for each financial statement filing made in addition to attaching a single, searchable PDF of the financial statement:

Field/Section	Descriptions
Period Type	Represents the period to which a FS pertains relative to the fiscal year, e.g. annual, quarterly or year-to-date.
Fiscal Year	The year corresponding to the 12 month period the company uses for accounting purposes the FS pertains to.
Classification	The type of financial statement required by a jurisdiction under one of the following designations (shown in lowest to highest ranking order based on stringency of review); <ul style="list-style-type: none">• Un-audited (not reviewed/compiled)• Un-audited (compiled)• Un-audited (reviewed)• Audit

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Field/Section	Descriptions
Period End Date	The period end date that corresponds to the Financial Statement Filing. This date should also be aligned with the classification of the financial statement and the Fiscal Year End of the Company. For instance, a company filing a FS classified as Annual for Fiscal Year 2008 with a Fiscal Year End of 12/31 would specify a Period End Date of 12/31/2008. This indicates that the financial statement covers 1/1/2008 through 12/31/2008.
Description	Use the Description field to provide additional details of the attached financial statement. If attaching an Annual/Initial financial statement with a Period End Date that does not correlate with the fiscal year end date, explain the circumstances that prohibit the company from attaching an annual financial statement.
CIK Number	Central Index Key (CIK). A unique identifier assigned by the SEC to companies who file disclosure documents with the SEC. This number is reported on Company 10K filings as required for publicly-traded companies.
Key Financial Data	Numeric values of Assets, Liabilities and Owners' Equity to be provided the Company in conjunction with submitting a Financial Statement filing with a period end type of Annual/Initial.
Accountant Information	Information pertaining to the accountant that performed the audit, review, or compilation of a financial statement.

FS ATTACHMENT: After completing the FS data fields, companies must attach a single, searchable PDF of the financial statement that corresponds to the Period Type, Classification and Period End Date designated in the FS data fields. The FS and all relevant attachments/exhibits must be attached as a single, searchable PDF document, including a copy of the accountant's cover letter, if applicable.

A non-exhaustive list of available resources for creating the required PDF document can be found at: http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Financial_Statements

FS OATH: Prior to submitting a FS filing, an authorized company user must certify to the accuracy and completeness of the filing being made under the Completeness Check and Submit section accessible from the left-hand navigation bar.

PENDING AND PROCESSED FS FILINGS: Companies can access pending FS filings in the Pending Filings list on the Manage Filings – Financial Statements page. NMLS will retain one pending filing for each period type in a fiscal year. The most recent FS filing submitted and

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processed for each period type in a fiscal year can be accessed through the Processed Filings list on the Manage Filings – Financial Statements page.

AMENDING FS FILINGS: Companies can amend the most recently submitted FS filing for a particular Fiscal Year and Period Type by selecting the respective Period Type link in the Processed Filings list on the Manage Filings – Financial Statements page.

2.1.2 MU1 Completeness Check

Prior to filing a new MU1 license request, a company must complete and submit the financial statement (“FS”) filings identified in the jurisdiction-specific requirements found in the new application checklists for the jurisdiction and license type associated with the license request(s) in the filing. The new application checklists can be accessed through the State Licensing Resources Page at:

http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Participating_States1&Template=/CM/ContentDisplay.cfm&ContentID=13647

Failure to make the appropriate FS filings may result in the display of a completeness check notifying the company user that the FS requirements have not been met for one or more license request(s) included with the filing. If a FS completeness check appears, the company will not be eligible to submit the new MU1 license request(s) until the appropriate FS filing is made or any license request(s) for which the FS requirements cannot be met are removed from the filing.

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2.1.3 Financial Statement Deficiencies

Three new License Item/Deficiency types were created with the Financial Statement (“FS”) functionality – Financial Statement Insufficient, Financial Statement Not Submitted and Financial Statement Net Worth. These deficiencies can be set by the system or manually by regulators. Regulator set FS deficiencies must be cleared by the regulator. Regulators also can clear system set FS deficiencies. However, system set FS deficiencies will be set and/or automatically cleared from MU1 licenses as described in the table below:

Deficiency Name	When Set	When Deficiency Will Auto-clear
Financial Statement Insufficient	90 days after company’s FYE or when an amended Annual FS Filing is submitted subsequent to the 90 deadline if the submitted Annual FS does not meet the Classification requirement for the MU1 license type.	An amended Annual FS filing is made that meets the Classification requirement for the MU1 license type.
Financial Statement Not Submitted	90 days after company’s FYE if MU1 license requires submission of an Annual FS and an Annual FS filing has not been submitted.	An Annual FS filing is made for the requisite Fiscal Year.
Financial Statement Net Worth	A new MU1 license request (not a transition request) is submitted with for a license with an associated net worth requirement and the Owner’s Equity figure provided in the Key Financial Data with the Annual FS filing is not equal to or greater than the net worth requirement. OR A new or amended Annual FS Filing is submitted and the Owner’s Equity figure provided in the Key Financial Data with the Annual FS filing is not equal to or greater than the net worth requirement for the MU1 license type.	An amended Annual FS filing is made that meets the net worth requirement for the MU1 license type.

2.1.4 MU3 Warning

When submitting an MU3 filing, a warning message will display if any MU1 license in the same jurisdiction where a license request is being submitted in connection with the MU3 filing has a FS deficiency (Insufficient, Not Submitted or Net Worth).

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2.1.5 Financial Statement Notifications

NMLS will send appropriate Financial Statement (“FS”) related email notifications to system users assigned to receive such notifications. Any user with the “Notification Administrator” role has the ability to designate users to receive the FS notifications described below. However, receipt of system notification emails by such users is contingent upon a valid email address being provided during user set-up.

Notifications are informational messages that are systematically generated and sent when a pre-defined event occurs in NMLS. A separate notification is sent each time an event occurs within NMLS. Below please find a description of the notifications created for use in connection with the FS functionality.

Notification Name	Sent when:
Financial Statement Filing Processed	A Financial Statement filing for the company is processed.
Fiscal Year End 90 Days Remaining	The day following the company’s Fiscal Year End if the company holds at least one approved equivalent license with an Annual FS requirement.
Fiscal Year End 15 Days Remaining	Approximately 15 days prior to the 90 day deadline for filing an Annual FS if the company holds at least one approved equivalent license with an Annual FS requirement.

2.1.6 Financial Statement Filing History

As indicated above, the most recent financial statement (“FS”) filing submitted and processed for each period type in a fiscal year can be accessed by companies through the Processed Filings list on the Manage Filings – Financial Statements page. In addition, enhancements have been made to the Company Composite View, accessible by both companies and regulators, to include a View Financial Statement Summary section. Only Account Administrators and users with View Company/Branch Composite roles can access this section.

The View Financial Statement Summary Section provides access to the list of Financial Statement filings that have been submitted and processed through NMLS for a company. Selecting the Fiscal Year hyperlink allows companies or regulators to access a list of all versions of all filings submitted for the selected Fiscal Year. Alternatively, a company or regulator can

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select the applicable Period Type hyperlink to display the most recently submitted version of the FS filing. The Composite View of a selected FS filing provides information regarding the financial statement filing history and allows the company or regulator user to: (i) view information provided in the FS Data Fields, (ii) open and view the PDF document containing the attached FS statement and (iii) review the oath language accompanying the filing.

2.1.7 Regulators

With the addition of the Financial Statement ("FS") functionality in NMLS, regulators will have the ability to review company financial statements through NMLS.

FINANCIAL STATEMENT WORK LIST ITEM: NMLS will generate a work item in the Regulator Work List when a company makes a new Annual/Initial FS filing or files an amendment to an existing Annual/Initial FS filing if the company has at least one non-terminal MU1 license with the Regulator that has an annual FS requirement.

FINANCIAL STATEMENT REPORT: Regulators will be able to request an Active Financial Statement/Net Worth License Item report that will identify any companies licensed by their jurisdiction for which an active license item pertaining to a financial statement or net worth requirement exists.

DATA DOWNLOAD: Data Download files will include financial statement filing data with the exception of the related PDF files.

2.2 *Regulator Work List Enhancements*

Many useful enhancements described below have been made to the Regulator Work List in Release 2009.1 to facilitate the regulators ability to process filings through NMLS.

2.2.1 Work Item Category

To eliminate the long list of review items displayed in the Regulator Work List, the Review Item column will be replaced with a Work Item Category column. Work Item Categories are comprised of one or more review items. The names, descriptions and precedence of the work item categories (in order of precedence) can be found in the chart below. To illustrate the precedence

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of work item categories – a work item containing a license request and a transition request would be listed in the Regulator Work List under the License Requested work item category.

Work Item Category	Description	Precedence
License Requested	The work item includes at least one License Requested review item.	1
Transition Requested	The work item includes at least one Transition Requested review item.	2
Surrender Requested	The work item includes at least one Surrender Requested review item.	3
Withdrawal Requested	The work item includes at least one Withdrawal Requested review item.	4
Transition Cancelled	The work item includes at least one Transition Cancelled review item.	5
Amendment	The work item includes at least one of the amendment review item types which include the following: Address Change, Books and Records Information, Branch Manager, Consumer Complaint Employee Information, Contact Employee Information, Control Affiliates, Control Information, Direct Owners, Disclosure Questions, Employment History, Expense Information, Financial Institutions, Identifying Information, Indirect Owners, Jurisdiction Participation, Legal Status, Name Change, Operation Information, Other Business, Other Business Names, Qualifying Individuals, Resident/Registered Agent, Residential History, Tax Number Change, Web Addresses	6
Sponsorship Removal	The work item includes a Sponsorship Removal review item.	N/A
Sponsorship Request	The work item includes a Sponsorship Request review item.	N/A

2.2.2 Bulk License Update

The Bulk License Status Update enhancement allows regulators to update the license statuses of a set of Individual or Branch licenses related to a specific Company license. The Bulk License Update feature can be accessed from the left-hand navigation bar once a company license has been selected. Regulators must select the Entity Type (Branch or Individual) and New License Status to display a filtered list of related licenses for the selected company. Terminal licenses will not appear in the filtered list. An optional License Type filter can be used to narrow the results. Once the filtered list appears, regulators will enter a New Status Date (pursuant to applicable rules pertaining to license status dates) with optional External Notes and select the licenses to update to the requested New License Status.

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Certain licenses may not be available for selection if the desired New License Status violates the license status transition rules, unless the regulator has the capability to override such rules and chooses to do so. In addition, if the regulator enters a new status date that is not valid for all selected licenses the system will update only the licenses for which the new status date is valid and return with a message indicating that the updates have been applied; however, the updates could not be applied to one or more licenses because the current status date of the license is later than the new status date selected.

Only Individuals actively sponsored by the Company are reflected in the list of related Individuals. Thus, it is important for regulators to remember that they should affect the Individual license status before terminating the sponsorships. Otherwise, the regulator will be unable to use bulk license update on the Individual licenses once the sponsorships are terminated as licenses will not appear in the list.

2.2.3 Bulk Work Item Assignment

The ability to assign multiple work items to a single user within a regulator's jurisdiction has been made available under the Work List Assignment section of the Work List. Regulators can apply work list filters to view a list of applicable work list items, select specific work list items to be assigned or choose the "Select All" link to select all displayed work items, choose the desired user's name from the "Assignee" dropdown menu and click the Assign button to complete assignment process.

2.2.4 Update License Status Date

Enhancements made in Release 2009.1 will allow regulator users with License Status or License Status Admin roles to make updates to the License Status Date without updating any other field subject to certain rules, allowing for error correction of incorrectly entered status dates. The License Status Date field also has been set to default to the most recently set license status date associated with the current License Status. This will allow regulators to make updates to the License Number, Prevent Renewal flag, or External Notes without updating the License Status Date.

Updates to the License Status require a new License Status Date. The new License Status Date must be set according to the following rules: (i) For licenses without a prior license status change, the License Status Date can be set back no earlier than the first license status date (e.g.;

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for transition requests, the date can be set no earlier than 1/1/1899). (ii) For licenses with a prior license status change, the License Status Date can be set back no earlier than the latest license status date associated with the prior license status. Regulators can access the first license status date or the license status date associated with the prior license status through the License/Registration Status History under the Composite View tab.

2.2.5 Miscellaneous Work List Enhancements

1. A warning message will display if a regulator attempt to approve a license with one or more license items (deficiencies and/or requirements).
2. When filtering the work list by Company Entity ID, the work list will display all work items for related branches and individuals.
3. A clear button has been added to restore the filtering options to their default settings.
4. An 'unassigned' filter criterion is available in the Assignment dropdown list.
5. The default cursor position in a work item has been moved from the Assignment field to the Work Item Note field.

2.3 Composite View Updates and Enhancements

Several enhancements are made to the Composite View section of NMLS to (i) support financial statement functionality, (ii) provide regulators with access to composite information on companies, branches and individuals not licensed in their jurisdiction, (iii) allow users to view individual licensees associated with a selected company or branch and (iv) provide additional information regarding active MU2 associations, along with other minor enhancements.

2.3.1 View Financial Statement Summary

Given the inclusion of the Financial Statement Functionality in this release, financial statement information has been integrated into the Composite View. Any user who has access to company/branch composite view will be able to view the financial statement history. See 2.1.6 Financial Statement Filing History above for further details regarding the View Financial Statement Summary section of Composite View.

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2.3.2 Open Regulator Access

Regulator users have the ability to access Composite View information for Companies, Branches and Individuals even if the Company, Branch or Individual does not hold (and has not applied for) a license in the regulator's jurisdiction. Excluded from this view are License Items, Personally Identifying Information (social security number, date of birth and employer identification number (EIN)) and Renewals Attestation History information.

NOTE: Regulators can review the high level requirements for Open Regulator Access on the NMLS website at:

http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Functional_System_Requirements1 (*login and password required*).

2.3.3 View Licensed Individuals Associated with a Company or Branch

COMPANY COMPOSITE: The View Licensed Individuals section of the Company Composite View accessed from the left-hand navigation bar allows company users to filter by regulator to access a count, by location, of individuals associated with a Registered Location of the company and licensed by the selected Regulator. Regulator users will automatically access regulator relevant information. An individual is associated to one or more locations via the Registered Location Link established when a Company Relationship is created or updated. A licensed individual will appear in a count associated with a non-approved location only if the individual is NOT linked to an approved location. A user can select the number of individuals count to access the list of corresponding individuals.

BRANCH COMPOSITE: The View Licensed Individuals section of the Branch Composite View accessed from the left-hand navigation bar allows company users to filter by regulator to access a list of all individuals licensed by the selected Regulator who are associated to the specified branch location. Regulator users will automatically access regulator relevant information. An individual is associated to a location via the Registered Location Link established when a Company Relationship is created or updated. The Sponsorship indicator in the listing means the company actively sponsors at least one individual license with the current Regulator. The Sponsorship does not necessarily correspond directly to the current location. The MU2 Association indicator reflects whether the individual has at least one current MU2 Association with the company. A user can select the Individual Id hyperlink to view the corresponding Individual composite information.

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2.3.4 Miscellaneous Composite View Enhancements

1. Information is displayed in the Current MU2 Associations section of Composite View regarding an MU2 individual's designation (e.g. Control Person, Branch Manager, etc.). In addition, the Individual's ID serves as a hyperlink to the individual's composite information.
2. Text has been added to the 'Other Business Names' page in Company Composite to clarify the information displayed on the page.
3. NMLS will display the full name of Individuals in Composite View under view historical filings.
4. Company users can access Individual Composite View for individuals who have granted the company access, even if the individual does not have a relationship or active sponsorship with the company.
5. Throughout NMLS, Composite View will open in a separate window when linked to from another function. This will allow users to return to the same screen they accessed the Composite View from when the separate window is closed.

2.4 *Reports*

2.4.1 New Reports for Regulators

1. A Sponsored Individual Roster report will be made available to regulators with Release 2009.1. This report will provide a list of all individuals with active sponsorships or pending sponsorship requests with a particular company as of a past or present date.
2. An Active Financial Statement/Net Worth License Item Report (described in 2.1.7 above) also will be available to regulators as part of the new Financial Statement functionality.

2.4.2 Amendments to Existing Reports

1. The e-mail address for the transitioning entity has been added to the Pending Transition – Duration Report for regulators.
2. The Individual Roster Report now will display the individual's full name as it is recorded on the individual's base record. If the report parameters are set to dates

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before the individual's base record was created, the individual's name will display as it was recorded when the base record was created.

3. The counts for number of licenses in the Roster and License Status Reports available to regulators will match.

NOTE: Regulators can view copies of sample reports on the NMLS website at:

<http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Reports1> (*login and password required*).

2.5 Account Administration

2.5.1 View Confidential Information Role

The new *View Confidential Role* has been created to allow designated company or regulator users to view full social security/federal tax identification numbers and date of birth information. MU1 users will be allowed to view full social security/federal tax identification numbers and date of birth information in connection with pending filings only without the *View Confidential Role*. Otherwise, with the exception of company or regulator Account Administrator users, full social security/federal tax identification number and date of birth information will be masked for all other company or regulator users unless a company or regulator Account Administrator user grants other company or regulator users the *View Confidential Information* role through the Admin tab under the Manage Roles section accessible from the left-hand navigation bar.

2.5.2 Miscellaneous Administration Changes

1. Text added to the Manage Notifications screen under the Admin tab clarifies the purpose of system notifications and instruct users how to add and edit notification contacts.
2. The system has been changed to deliver all notification for which a notification contact has not been designated under the Manage Notifications screen to the Account Administrator. A company or regulator user with the Manage Notifications role or an Account Administrator user can designate notification contacts for one or more available notifications through the Admin tab under the Manage Notifications section accessible from the left-hand navigation bar.
3. Instructional text is added to the Account Administration home page to outline actions available under the Admin tab.

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NOTE: For further information regarding managing roles and notification contacts, access the Account Administration Navigation Guide on the NMLS website at:

http://www.stateregulatoryregistry.org/AM/Template.cfm?Section=Navigation_Guide2

2.6 Form Filing Updates and Enhancements

Release 2009.1 includes a large number of screen text, data field and form updates and enhancements as described below:

1. When entering the company email address on the MU1/MU2 the system will now require at least one "@" and a ".".
2. The system will now require that the Sponsorship Status Date be on or after both the Relationship Start Date and the initial License Status Date.
3. On the MU4 under Other Business the system will now only allow 512 characters in the "describe your duties" text box.
4. The company name will now display in the banner at the top of the MU4, which also displays the Individual's Name, ID Number, Filing Type, Create Date, and User Name of the individual that created the filing, during attestation.
5. Throughout the system, when a domestic address is provided, the country field will now default to United States.
6. On an MU2 or MU4 filing that is initiated by a company, any changes made by the individual to their form during the attestation process will now display in red line to the company user.
7. Text changes were made to the Employment and Residential History sections of the MU2/4 to clarify the information that must be provided.
8. A link to the SRR Website has been added to the Resident/Registered Agent Section of MU1 so company can access the jurisdiction-specific definition of the field. Additionally the text on the page has been updated for clarification.
9. When adding an individual to an MU1 or an MU3 filing, the message: "Your company does not have access rights to the selected individual." will now display immediately when the company does an individual search and the individual is selected.
10. The system will correctly display redline changes on an individual filing if a subsequent individual filing of a different type (e.g. an MU4) was submitted after the another individual filing was created (e.g. an MU2).

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11. The explanatory text on the Individual Pending Filing Page accessible to company users was amended to clarify the resources/actions available to a company user.
12. Throughout the system, an error message will no longer display when an apostrophe is used in the email address.
13. The system now will require a company to have an active company (MU1) license in a jurisdiction before a branch (MU3) license request can be made in the same jurisdiction.
14. Instructional text was added to the Company Relationships screen under the Company Filing tab to clarify the actions available from the screen.
15. On an individual filing (MU2/MU4) the sort order of Residential and Employment history was changed from newest to oldest.
16. The "MU4" sub-header, under the Filing tab, was changed to "Individual" system-wide to more clearly identify for a MU2 Individual where they must go in the system to attest and to more accurately reflect that certain actions available under the sub-header apply to MU2 individuals in addition to MU4 individuals.
17. The Pending Filings screen for Individuals was amended to: (i) name the section that lists pending filings initiated by the individual "My MU4 Filings", (ii) move the Attestation Requested section to the top of the screen and the My MU4 Filings section to the bottom of the screen, and (iii) add instructional text to clarify the work process on the screen.
18. Text changes have been made to the Create Relationship screen to clarify the date that should be entered as the Employment/Association Date (previously known as the Start Date). In addition, the Employment/Association Date field no longer defaults to the current date.
19. The error message that displays when an individual record is created using an SSN that is already in the system was changed from "The SSN already exists. Please contact the Entitlement group." to "The SSN already exists. Please contact the Call Center at (240) 386-4444."
20. When a license is requested on an MU1, the system will generate a completeness check error if there is no corresponding entry for the jurisdiction in the following sections: Consumer Complaint Employee Information, Books and Records Information and/or Jurisdiction Participation.

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2.7 Problem Report Resolution

The following problem reports impacting industry and regulator users have been addressed/resolved in Release 2009.1:

1. Entitlement users (Call Center) now will have the ability to change the license type in connection with a pending transition request if the applicant selected the incorrect license type in error.
2. When viewing a historical filing, the links to the difference sections of the MU forms will no longer duplicate.
3. The payment history for a Funds Pending Deficiency has been fixed to display properly.
4. When viewing a historical MU1 or MU3 with an MU2, the License/Registration Information section will no longer display as a section changed on the MU2 under Hover to View.
5. When viewing the Books and Records section of a historical MU1 or MU3 filing, the text next to the comments section will now correctly display as: "Please limit your text entry to 512 characters."
6. The disclosure questions that display on the Snapshot and Historical Filings for MU2/MU4 records now match the disclosure questions on the MU2/MU4. Specifically: (i) Question F(2) in the Individual Snapshot now reads "Are there any pending charges against you for a misdemeanor specified in F(1); (ii) References to Question 8 were removed from Questions F(2), G(1), G(2), H(2) and K in the Individual Snapshot; and (iii) References to Question 8 were removed from Questions G(1), G(2), H(2) and K in the Historical Filing.
7. Users will no longer receive an error message when trying to view a previously requested report.

The following Regulator specific problem reports also have been addressed/resolved:

1. Previously when a user's record reflected a license in terminated status and the user submitted a new filing for the same license, the license would appear twice on the Regulator work item (once with the current status and once with the terminated status). With 2009.1 this issue has been corrected and only the latest license request will display in the work item.
2. The item count in the work list (inadvertently removed in a prior release) will again be displayed in the footer of each page of the work list.

2.8 Miscellaneous Enhancements

1. The social security number will be pre-populated on the Create Individual Record screen when the SSN was provided as search criteria on the previous Search screen.

Nationwide Mortgage Licensing System (NMLS): Release Notes

NMLS Release 2009.1

Release Date: March 6, 2009

- When creating an Individual Account, the Individual ID (unique identifier) will display on the final confirmation page.
- An SRR-NMLS website icon has been added to the top sandbar next to the Print and Help icons. The icon will provide a direct link to the homepage of the SRR-NMLS website from anywhere within NMLS.
- The system will identify required fields on the Company Account Request Form.
- All e-mail notifications sent by the system have been reformatted and some have also been amended to clarify the trigger even of the e-mail and/or provide guidance regarding possible next steps. Additionally, a link to the Account Admin Quick Guide on the SRR-NMLS website has been added to the e-mail received by account administrators when the user account is created.
- Changes were made to certain fields to specify whether the information provided is internal (viewable only to the entity that entered the information) or external (viewable to anyone with access to the record) as follows:

Field	Internal/External
Reason for Update – View Sponsorships Screen	Internal
Reason for Update – Update Sponsorship Screen	Internal
Comments – Manage License Status Screen	External
Reason for Update – License/Registration Status History Screen	External
Note – Regulator Work Item Screen	Internal

- Throughout the system the Company, Branch and Individual Search functionalities have been enhanced. When searching by first and last name, the system provides a “contains” search option (when selected the search will look for any name that is a partial match to the search screen). Users also can search for entities by the jurisdictional license number. Additionally, regulator users have the option to limit the search only to entities relevant to their jurisdiction in conjunction with a name search.

3 Support

3.1 *World Wide Web Site:*

To access the latest information for Nationwide Mortgage Licensing System on our world wide website, please enter this URL into your Internet browser:

<http://www.stateregulatoryregistry.org/NMLS>

Note: Regulator section of the website is secured. You need to have a login ID and password to access this information. Please visit our website to request the login details.

3.2 *Call Center*

For questions relating to the Nationwide Mortgage Licensing System, users can contact the call center between 9:00 a.m. to 7:00 p.m. EST from Monday through Friday.

1. Industry: The call center number for Industry is 240-386-4444
2. For questions concerning the development of the Nationwide Mortgage Licensing System, users can contact State Regulatory Registry LLC at

<http://www.stateregulatoryregistry.org>